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COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 2023I

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APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/696,220

10/26/2000

Masafumi Kokura

925-165

NIXON & VANDERHYE P.C. 1100 North Glebe Road, 8th Floor Arlington, VA 22201



FORMALITIES LETTER

OC0000000005628506

Date Mailed: 12/19/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
 Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- The oath or declaration is missing.

 A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 840.

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

01/08/2001 SDUDNG

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718:88 8B

12/19/00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

44

In re Patent Application of

KOKURA et al

Serial No.

09/696,220

Filed:

October 26, 2000

JAN 0 4 2001

Atty. Ref.:

925-165

Group:

1775

Examiner:

unknown

For:

PATTERNED SUBSTRATE AND LIQUID CRYSTAL

DISPLAY PROVIDED THEREWITH

* * * * * * * * *

Assistant Commissioner for Patents Washington, DC 20231

Sir:

REQUEST FOR CORRECTION OF FILING RECEIPT

Enclosed is a copy of the Filing Receipt for the above-identified application which incorrectly states the second foreign application date as "09/28/2000". It should read "08/28/2000". The correction is shown in red on the attached copy of the filing receipt. Please note your records accordingly. Correction of same is respectfully requested.

Respectfully submitted,

NIXON & VANDERHYE P.C.

Della roe Wee

January 4, 2001

By:

H. Warren Burnam, Jr.

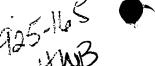
Reg. No. 29,366

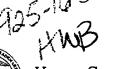
HWB:lsh

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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
00/606 220	10/26/2000	1775	n	925-165	11	10	2

NIXON & VANDERHYE P.C. 1100 North Glebe Road, 8th Floor Arlington, VA 22201



FILING RECEIPT

Date Mailed: 12/19/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Masafumi Kokura, Nara-ken, JAPAN; Yoshiharu Kataoka, Osaka-fu, JAPAN; Takayuki Shimada, Nara-ken, JAPAN;

Continuing Data as Claimed by Applicant

Foreign Applications

JAPAN 11-303823 10/26/1999 JAPAN 2000-257232 09/28/2000 08/28/2000

If Required, Foreign Filing License Granted 12/19/2000

Title

Patterned substrate and liquid crystal display provided therewith

Preliminary Class

428

Date: 12/19/2000 Team: OIPE Data entry by : MELAKU, AIDA

IN THE UNITED STATES ENT AND TRADEMARK OFFICE In re Patent Application of: Attention Application Branch Atty. Dkt. 925-165 KOKURA et al Serial No. 09/696,220 Filed: October 26, 2000 Date: January 4, 2001 PATTERNED SUBSTRATE AND LIQUID CRYSTAL DISPLAY PROVIDED For: THEREWITH **Assistant Commissioner for Patents** Washington, D.C. 20231 The attached completes filing of the above-identified patent application: Signed Rule 63 Declaration alone OR Signed Declaration plus attached copy of originally filed specification/drawings. NOTICE TO FILE MISSING PARTS OF APPLICATION FILING DATE GRANTED form. Record and return the attached assignment. Priority is hereby claimed per Rule 55 & 35 USC119 based on prior foreign application(s) Nos.: Application Nos. Country Filing Date 11-303823 Japan 26 October 1999 2000-257232 Japan 28 August 2000 respectively. This application is based on the following prior provisional application(s): Filing Date Application No. respectively and priority is hereby claimed therefrom. Certified copy(ies) of foreign and/or provisional application(s): ☐ attached; ☒ already filed on October The undersigned verifies that the above-identified application is identical to: filed , as amended on This application is entitled to "Small entity" status. □ "Small entity" statement attached. Also attached: Request Correction of Filing Receipt Fees are attached as calculated below: \$ 710.00 Basic filing fee \$ 0.00 Total Effective claims -20 =x \$ 18.00 10 \$ 2 -3 = 0 80.00 0.00 Independent claims x \$

Assignment Recording Fee (\$40.00) **TOTAL FEE ENCLOSED** \$ 880.00 Any future submission requiring an extension of time is hereby stated to include a petition for such time extension. The Commissioner is hereby authorized to charge any deficiency in the fee(s) filed, or asserted to be filed, or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our

If any proper multiple dependent claims now added for first time, add \$270.00 (ignore improper)

Petition is hereby made to extend the current due date so as to cover the filing date of this paper and attachment(s) (\$110.00/1 month; \$390.00/2 months; \$890.00/3 months; \$1390.00/4 months)

Surcharge (\$130.00) if Declaration or filing fee first now submitted

Account No. 14-1140. A duplicate copy of this sheet is attached.

If "small entity," enter half (1/2) of subtotal and subtract

1100 North Glebe Road, 8th Floor Arlington, Virginia 22201-4714 Telephone: (703) 816-4000 Facsimile: (703) 816-4100 HWB:Ish

NIXON & VANDERHYE P.C.

FIRST SUBTOTAL

SECOND SUBTOTAL

By Atty: H. Warren Burnam, Jr., Reg. No. 29,366

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Migue esecció Signature: